Foreign Participation in Earth System Science Pathfinder (ESSP) Missions

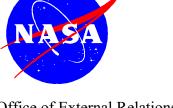
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General Guidelines

- ◆ NASA encourages and welcomes participation by foreign individuals and organizations, however, all ESSP mission teams shall be led by a single <u>U.S.</u> Principal Investigator.
- ◆ All foreign participation will be on a no-exchange-of-funds basis.





Letter of Endorsement

- → Proposals from U.S. entities that include foreign participation must have the endorsement of the respective government agency or funding/sponsoring institution in the country from which the foreign entity comes.
- ★ Letters of endorsement shall be signed by institutional or Government officials authorized to commit their organizations to participation in the proposed investigation and shall describe the offered goods/services and their associated cost/value.
- ★ The officials shall certify institutional support and sponsorship of the investigation, as well as concurrence in the management and financial parts of the proposal.



Foreign Procurement

- ★ The direct purchase of goods and/or services from foreign sources by U.S. team members is permitted but is subject to federal trade laws and regulations.
- ◆ Potential ESSP participants are advised that all international purchases made using funds derived from NASA shall meet NASA and Federal regulations.
- ★ These regulations may place an additional burden on investigation teams that shall be explicitly included in discussions of the investigation's cost, schedule, and risk management.



Export Control

- → Proposals including foreign participation must include a section discussing compliance with U.S. export laws and regulations as applicable to the circumstances surrounding the particular foreign participation.
- ★ The discussion must describe in detail the proposed foreign participation and is to include, but not be limited to, whether or not the foreign participation may require the prospective proposer to obtain the prior approval of the Department of State or the Department of Commerce via a technical assistance agreement or an export license, or whether a license exemption/exception may apply.
- → If prior approvals via licenses are necessary, discuss whether the license has been applied for or if not, the projected timing of the application and any implications for the schedule.



Agreements

- ◆ Should a U.S. proposal with foreign participation be selected, NASA's Office of External Relations will arrange with the foreign sponsor for the proposed participation on a no-exchange-of-funds basis, in which NASA and the foreign sponsor will each bear the cost of discharging their respective responsibilities.
- ◆ Depending on the nature and extent of the proposed cooperation, these arrangement may entail:
 - (1) An exchange of letters between NASA and the foreign sponsor; or
 - (2) A formal Agency-to-Agency Memorandum of Understanding.